



**STATE OF CALIFORNIA - GOVERNOR ARNOLD SCHWARZENEGGER
LABOR AND WORKFORCE DEVELOPMENT AGENCY**

**CALIFORNIA UNEMPLOYMENT INSURANCE APPEALS BOARD
OFFICE OF THE CHIEF ADMINISTRATIVE LAW JUDGE/EXECUTIVE DIRECTOR
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July 15, 2011

To: Board Members

**July 2011 Summary Report of Executive Director and
Chief Administrative Law Judge Alberto Roldan**

1. Office of the Chief

- The ALJ Academy began on July 11, 2011. With 6 new ALJs in the academy and an ALJ from the Department of Health Care Services.
- The interview for a new Legal Support Supervisor II for the Inland Office of Appeals will be on Wednesday, July 20, 2011.
- Administrative Law Judge Marvin Hassan of the Orange County Office of Appeals has been selected to be the Presiding Administrative Law Judge Position in the Oakland Office of Appeals beginning in September 2011.
- The Office of Tax Petitions is currently reviewing the applications for the vacancy in that unit.

2. Snapshot of Field Operations through June 2011

Overall June Workload and Performance and End of the Fiscal Year: June returned to “average” after several months of inconsistent intake and outflow figures. The number of new cases in June [37,903] was the lowest since February 2011, but right at the fiscal year average. Actually, the number of new cases has been almost the same for three consecutive months. Closed cases [40,592] exceeded 40,000 for the first time in three months and were 2% above the norm. There was a reduction in the open inventory of almost 1,800 cases.

For the entire 2010/2011 fiscal year, we received 449,637 new cases in all programs. This represents an increase of 2% from the previous fiscal year’s totals and is 18% higher than the 2008/2009 fiscal year. There were 475,989 closed cases for the 2010/2011 fiscal year, which is 3% more than the previous year and 41% greater than two years ago. For the year, this meant a reduction in open inventory of slightly more than 11,000 cases despite the fact that intake has continued on an upward trajectory throughout the recession. In the past two years, the open inventory has been reduced by almost 33,000 cases [35%]. While we still have about 25% more

open cases now than was true at the end of the 2008 fiscal year, our progress in the past two years has been remarkable.

Case Aging and Time Lapse: In June 2011, the average case age fell to 34 days which was the lowest month-end result since April 2008. During the 2010/2011 fiscal year, the average case age was reduced by 12 days. Over the past two years, the improvement has been 20 days. 90-day time lapse for the month [94%] just missed the federal guideline. This figure is 18 percentage points higher than June 2010 and 44 percentage points higher than June 2009. 45-day time lapse [28%] was slightly up from May and down slightly from April. This is the second consecutive year in which this figure has more than doubled. 30-day time lapse [3%] remains stuck in single digits.

Unemployment Insurance (UI) for June: New UI cases [35,785 cases; 20,433 appellants] were 1% fewer than the 2010/2011 fiscal year average, but very close to the intake we had in each of the months this quarter. Closed cases [38,177 cases; 21,765 appellants] were up 6,000 from last month's totals and 2% higher than the 2010/2011 fiscal year average. The open inventory [50,926 cases; 29,079 appellants] fell by more than 2,400 cases last month.

For the 2010/2011 fiscal year, there were 432,563 new UI cases [246,933 appellants]. This represents an increase of 17% over the previous fiscal year, which was itself 29% higher than 2007/2008. For the year, there were 449,442 closed cases [256,631 appellants]. This represents a 3% increase from the previous fiscal year, but an amazing 42% increase from two years ago. For the year, the open inventory of UI cases fell by 18,738, or 27%. Over two years, the backlog has fallen by 38%. This contrasts with the year before that in which the inventory more than doubled.

Disability Insurance (DI) for June: In DI, new cases [1,428] were up from May but still 4% fewer than average for the fiscal year. Closed cases [1,567] were the most since March. The open inventory [1,905 cases] is now 19% below the fiscal year average and the smallest it has been since February 2007. For the 2010/2011 fiscal year, there were 17,761 new DI cases. This was the first time in nine years in which the number of disability appeals rose from the year before. It should be noted, however, that the increase was only 125 cases and that the intake was only two-thirds as large as true in the 2002-2003 fiscal year. We closed 18,391 disability cases during the 2010/2011 fiscal year. This is down from the previous fiscal year but 4% greater than the number of new cases.

Tax, Rulings, Other for June: The number of closed ruling cases exceeded new cases for the first time in three months. The open inventory is 17% smaller than the fiscal year average. For the 2010/2011 fiscal year, the number of new cases is about 1,000 smaller than during the previous fiscal year but 900 more than the year before that. At the same time, the number of closed cases grew by approximately 1,400 from last year and approximately 2,600 from the year before that. As a result, the open balance for these cases has been cut in half since September 2008. In tax, new cases [180] were 8% higher than average, but were trumped by closed cases [277], which were 60% more numerous than average. **The open inventory [4,700] was reduced for the sixth time in the last seven months.** For the year, there were 2,005 new tax cases, which is down 17% from the last fiscal year. Meanwhile, closed cases [2,084] were up 39% from the year before. **This was the first time in five years in which the tax inventory was reduced during a fiscal year.**

FIELD OPERATIONS ~ REPORT SUMMARY

STATEWIDE	2010-2011												STATEWIDE						
	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Average	Current Mo. % of Avg.	Total	Appellants Current Mo.	Appellants Average	Total	
WORKLOAD																			
New Opened Cases																			
UI TL	39,238	40,219	31,780	35,604	30,181	35,509	38,676	34,399	39,494	35,519	36,159	35,785	36,047	99%	432,563	20,433	20,583	245,993	
DI	1,763	1,609	1,366	1,372	1,159	1,414	1,537	1,651	1,411	1,691	1,360	1,428	1,480	96%	17,761				
Ruling & T-R	427	1,328	161	222	202	193	46	64	61	693	504	492	366	134%	4,393				
Tax	94	137	146	181	188	232	134	168	144	261	140	180	167	108%	2,005				
Other	41	31	40	17	27	21	18	33	31	46	22	18	29	63%	345				
Total	41,563	43,324	33,493	37,396	31,757	37,369	40,411	36,315	41,141	38,210	38,185	37,903	38,089	100%	457,067				
Multi Cases	396	153	77	6	5	5		47	26	7		4							
Closed Cases																			
UI TL	35,798	39,000	38,748	37,386	34,848	36,237	34,029	37,998	50,124	35,054	32,103	38,117	37,454	102%	449,442	21,765	21,385	256,631	
DI	1,494	1,511	1,581	1,552	1,372	1,565	1,295	1,576	1,925	1,512	1,441	1,567	1,553	102%	18,391				
Ruling & T-R	395	600	446	751	280	389	413	380	693	361	385	596	474	126%	5,689				
Tax	135	101	174	130	99	235	139	173	193	252	176	277	174	160%	2,084				
Other	26	31	38	53	23	26	29	19	35	29	39	35	32	110%	383				
Total	37,848	41,243	40,987	39,872	36,622	38,452	35,905	40,146	52,970	37,208	34,144	40,592	39,666	102%	475,989				
Multi Cases/Chrt	31/02		9/39	24/15	6/63			4/16	4/15	15/46	2/4								
Balance - Open Cases																			
UI TL	72,557	73,410	66,243	64,624	59,811	59,075	63,632	59,909	49,088	49,435	53,389	50,926	60,175	85%		29,079	34,360		
DI	2,808	2,908	2,691	2,513	2,299	2,148	2,390	2,465	1,951	2,126	2,046	1,905	2,354	81%					
Ruling & T-R	4,970	5,701	5,412	4,885	4,806	4,610	4,243	3,926	3,293	3,627	3,744	3,641	4,405	83%					
Tax	4,754	4,790	4,758	4,801	4,890	4,885	4,880	4,874	4,824	4,833	4,797	4,700	4,816	98%					
Other	78	80	82	46	51	48	38	51	47	65	48	31	55	56%					
Total	85,167	86,889	79,186	76,869	71,857	70,766	75,183	71,225	59,203	60,086	64,024	61,203	71,805	85%					
Multi Cases	421	575	602	77	19	17	16	60	75	52	12	8							
Time Lapse																			
30 TL %	2	4	3	3	3	2	3	3	3	4	4	3	3	97%					
45 TL %	12	13	15	15	15	12	12	16	22	31	27	28	18	154%					
90 TL %	72	69	70	74	76	73	76	73	82	93	94	94	79	119%					
CASE AGE																			
Average Days	47	45	44	45	45	45	47	44	35	35	36	34	42	81%					
UI (mean)	43	40	40	41	40	42	42	39	31	32	32	30	38	80%					
UI (median)	8%	7%	7%	8%	8%	8%	10%	8%	3%	2%	2%	2%	6%	33%					
>90 Days Old	8%	7%	7%	8%	8%	8%	10%	8%	3%	2%	2%	2%	6%	33%					
>90 Days Old w/ord Multis	14%	12%	8%	9%	12%	12%	16%	15%	9%	4%	5%	5%	10%	50%					
PY USAGE																			
Non ALJ	219,66	231,08	213,17	218,83	184,80	191,49	204,29	212,42	210,84	205,98	208,37	172,56	207,7	93%					
Net Pys	397,79	429,35	413,26	427,99	359,81	378,18	404,94	415,47	416,82	414,42	365,04	402,1	402,1	91%					
Ratio 1 /	1.23	1.17	1.07	1.05	1.06	1.03	1.02	1.05	1.02	0.99	1.12	1.07	1.02	104%					
w/FO&RSU																			
Non ALJ	182,54	203,37	205,47	215,33	180,93	190,98	206,94	209,87	212,58	214,72	176,92	200,0	200,0	88%					
Net Pys	262,69	277,18	256,06	262,04	219,42	227,18	240,63	256,64	252,48	248,00	229,26	248,2	248,2	92%					
Ratio 1 /	445,23	480,35	461,53	477,37	400,35	418,16	447,57	465,51	465,06	462,72	406,18	448,2	448,2	91%					
PRODUCTIVITY																			
Weekly Dispos per ALJ (w/ord)	48.6	45.3	46.7	43.1	52.7	43.0	42.7	49.6	55.6	40.5	45.1	46.6	46.6	97%					
Weekly Dispos per ALJ	49.4	46.1	47.5	44.1	53.3	43.8	43.4	50.3	56.6	41.3	46.0	47.4	47.4	97%					
Weekly Dispos (Non-ALJ)	34.3	33.8	38.1	36.2	43.9	36.8	37.3	41.3	47.7	35.7	35.5	38.2	38.2	93%					

ALL PROGRAM TRENDS - FO

NEW OPENED CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	TOTAL	Avg.	% Change	Yr-Yr AvgChg
2008	25,938	23,093	27,702	31,537	27,015	26,199	27,234	27,027	32,412	33,831	30,926	31,245	344,159	28,680		
2009	34,115	30,306	33,645	34,018	34,720	36,687	34,412	33,610	35,623	38,035	29,542	39,222	413,935	34,495	120%	5,815
2010	39,381	36,310	40,820	45,037	39,399	38,140	41,563	43,324	33,493	37,396	31,757	37,369	463,989	38,666	112%	4,171
2011	40,411	36,315	41,141	38,210	38,185	37,903							232,165	38,694	100%	28

Registrations June to date are down 3% from 2010, up 14% from 2009, and up 44% from 2008
 Registration monthly average is even with 2010, up 12% from 2009, and up 35% from 2008

CLOSED CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	TOTAL	Avg.	% Change	Yr-Yr AvgChg
2008	22,962	24,939	31,377	27,534	29,082	26,725	26,640	21,783	26,305	29,943	23,055	27,989	318,334	26,528		
2009	27,273	26,451	30,253	32,388	31,481	34,471	36,722	32,474	34,290	41,893	36,461	38,969	403,126	33,594	127%	7,066
2010	34,404	40,009	46,641	42,106	37,589	39,101	37,848	41,243	40,987	39,872	36,622	38,452	474,874	39,573	118%	5,979
2011	35,905	40,146	52,970	37,208	34,144	40,592							240,965	40,161	101%	588

Dispositions June to date are even with 2010, up 32% from 2009, and up 48% from 2008
 Disposition monthly average is up 1% from 2010, up 20% from 2009, and up 51% from 2008

BALANCE OPEN CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Avg.	% Change	Yr-Yr AvgChg
2008	50,735	48,851	45,085	48,985	46,870	46,297	46,811	51,973	58,005	61,773	69,574	72,712	53,973		
2009	79,459	83,239	86,674	88,675	91,984	94,025	91,932	93,231	94,499	90,583	83,671	83,874	88,487	164%	34,515
2010	88,772	84,920	78,808	81,554	83,171	81,997	85,167	86,889	79,186	76,869	71,857	70,783	80,831	91%	-7,656
2011	75,199	71,225	59,203	60,086	64,024	61,203							65,157	81%	-15,674

Open Balance June to date is down 22% from 2010, down 25% from 2009, and up 36% from 2008
 Open Balance monthly average is down 19% from 2010, down 26% from 2009, and up 21% from 2008

2010	81%	78%
2009	74%	75%
2008	121%	136%
chg to '11 avg		chg to '11 YTD

UI TRENDS - FO

Program Codes 1, 2, 3, 4, 5, 6, 8, 23, 24, 28, 29, 30, 31, 33, 34, 35, 36, 37, 38, 41, 42

NEW OPENED CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Total	Avg.	% Chg of Avg	Yr-Yr AvgChg
2008	24,049	20,982	25,443	28,984	24,768	24,092	24,904	24,902	29,898	31,704	29,345	29,331	318,402	26,534		
2009	32,164	29,014	31,429	31,869	32,267	34,435	32,319	31,827	33,713	35,619	27,150	37,388	389,194	32,433	122%	5,899
2010	37,307	34,125	38,172	42,249	37,447	36,321	39,238	40,219	31,780	35,604	30,181	35,509	438,152	36,513	113%	4,080
2011	38,676	34,399	39,494	35,519	36,159	35,785							220,032	36,672	100%	159
														2010	100%	98%
														2009	113%	115%
														2008	138%	148%
															chg to '11 avg	chg to '11 YTD

UI registrations June to date are down 2% from 2010, up 15% from 2009, and up 48% from 2008
 UI registration monthly average is even with 2010, up 13% from 2009, and up 38% from 2008

CLOSED CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Total	Avg.	% Chg of Avg	Yr-Yr AvgChg
2008	21,005	22,903	29,555	25,899	26,437	24,463	24,439	19,930	24,266	27,396	21,606	26,483	294,382	24,532		
2009	25,728	24,752	28,392	30,565	30,101	32,703	34,500	30,455	32,165	39,878	34,525	36,623	380,387	31,699	129%	7,167
2010	32,738	37,951	44,067	39,481	35,731	36,680	35,798	39,000	38,748	37,386	34,848	36,237	448,665	37,389	118%	5,690
2011	34,029	37,998	50,124	35,054	32,103	38,117							227,425	37,904	101%	515
														2010	101%	100%
														2009	120%	132%
														2008	155%	151%
															chg to '11 avg	chg to '11 YTD

UI dispositions June to date are even with 2010, up 32% from 2009, and up 51% from 2008
 UI disposition monthly average is up 1% from 2010, up 20% from 2009, and up 55% from 2008

BALANCE OPEN CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Avg.	% Chg of Avg	Yr-Yr AvgChg	
2008	42,602	40,635	36,437	39,418	37,700	37,280	37,664	42,554	48,111	52,305	59,975	62,706				
2009	69,049	73,237	76,311	77,968	80,188	81,750	79,774	81,302	82,785	78,473	71,095	71,813				
2010	76,301	72,323	66,136	68,715	70,234	69,664	72,557	73,410	66,243	64,624	59,811	59,075				
2011	63,632	59,909	49,088	49,435	53,389	50,926										
														2010	80%	77%
														2009	71%	71%
														2008	121%	139%
															chg to '11 avg	chg to '11 YTD

UI balance of open cases June to date is down 23% from 2010, down 29% from 2009, and up 39% from 2008
 UI balance monthly average down 20% from 2010, down 29% from 2009, and up 21% from 2008

DI TRENDS - FO
Program Codes 7, 10, 11, 12, 16 & 20

NEW OPENED CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Total	Avg.	% Chg of Avg	Yr-Yr AvgChg
2008	1,481	1,470	1,661	1,887	1,534	1,540	1,615	1,333	1,697	1,640	1,317	1,522	18,697	1,558		
2009	1,610	1,107	1,794	1,519	1,628	1,748	1,537	1,321	1,571	1,414	1,245	1,330	17,824	1,485	95%	-73
2010	1,446	1,437	1,775	1,957	1,371	1,232	1,763	1,609	1,366	1,372	1,159	1,414	17,901	1,492	100%	6
2011	1,537	1,651	1,411	1,691	1,360	1,428							9,078	1,513	101%	21

DI registrations June to date are down 2% from 2010, down 3% from 2009, and down 5% from 2008
 DI registration monthly average is up 1% from 2010, up 2% from 2009, and down 3% from 2008

CLOSED CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Total	Avg.	% Chg of Avg	Yr-Yr AvgChg
2008	1,579	1,477	1,506	1,368	1,884	1,720	1,601	1,385	1,579	1,920	1,049	1,277	18,345	1,529		
2009	1,217	1,269	1,451	1,465	1,129	1,463	1,823	1,644	1,648	1,753	1,527	1,701	18,090	1,508	99%	-21
2010	1,283	1,557	1,967	1,852	1,276	1,581	1,494	1,511	1,581	1,552	1,372	1,565	18,591	1,549	103%	42
2011	1,295	1,576	1,925	1,512	1,441	1,567							9,316	1,553	100%	3

DI dispositions June to date are down 2% from 2010, up 17% from 2009, and down 2% from 2008
 DI disposition monthly average is even with 2010, up 3% from 2009, and up 2% from 2008

BALANCE OPEN CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Total	Avg.	% Chg of Avg	Yr-Yr AvgChg
2008	2,590	2,586	2,738	3,257	2,907	2,728	2,742	2,692	2,810	2,525	2,790	3,034		2,783		
2009	3,426	3,264	3,613	3,684	4,197	4,478	4,204	3,895	3,819	3,476	3,203	2,836		3,675	132%	891
2010	2,997	2,876	2,682	2,789	2,891	2,541	2,808	2,908	2,691	2,513	2,299	2,148		2,679	73%	-996
2011	2,390	2,465	1,951	2,126	2,046	1,905								2,147	80%	-531

Open Balance DI June to date is down 23% from 2010, down 43% from 2009, and down 23% from 2008
 Open Balance monthly average down 20% from 2010, down 42% from 2009, and down 23% from 2008

2010	80%	77%	
2009	58%	57%	
2008	77%	77%	
	chg to '11 avg	chg to '11 YTD	

TAX TRENDS - FO
Program Codes 15, 17, 18, 32

NEW OPENED CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Total	Avg.	% Chg of Avg	Yr-Yr AvgChg
2008	187	277	202	191	183	281	199	171	201	303	170	254	2,619	218		
2009	166	93	219	174	258	164	252	256	169	292	224	229	2,496	208	95%	-10
2010	142	139	164	233	140	163	94	137	146	181	188	232	1,959	163	78%	-45
2011	134	168	144	261	140	180							1,027	171	105%	8
													2010	105%	105%	
													2009	82%	96%	
													2008	78%	78%	
														chg to '11 avg	chg to '11 YTD	

Tax registrations June to date are up 5% from 2010, down 4% from 2009, and down 22% from 2008
Tax registration monthly average up 5% from 2010, down 18% from 2009, and down 22% from 2008

CLOSED CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Total	Avg.	% Chg of Avg	Yr-Yr AvgChg
2008	82	147	117	78	414	145	174	139	118	167	68	87	1,736	145		
2009	92	97	172	149	72	97	126	111	162	70	149	288	1,585	132	91%	-13
2010	48	109	107	91	117	124	135	101	174	130	99	235	1,470	123	93%	-10
2011	139	173	193	252	176	277							1,210	202	165%	79
													2010	165%	203%	
													2009	153%	178%	
													2008	139%	123%	
														chg to '11 avg	chg to '11 YTD	

Tax dispositions June to date are up 103% from 2010, up 78% from 2009, and up 23% from 2008
Tax disposition monthly average is up 65% from 2010, up 53% from 2009, and up 39% from 2008

BALANCE OPEN CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Total	Avg.	% Chg of Avg	Yr-Yr AvgChg	
2008	2,739	2,869	2,953	3,066	2,833	2,969	2,994	3,026	3,109	3,243	3,344	3,511		3,055			
2009	3,585	3,580	3,627	3,649	3,836	3,903	4,029	4,174	4,180	4,402	4,477	4,416		3,988	131%	934	
2010	4,509	4,539	4,596	4,738	4,759	4,796	4,754	4,790	4,758	4,801	4,890	4,885		4,735	119%	746	
2011	4,880	4,874	4,824	4,833	4,797	4,700								4,818	102%	83	
														2010	102%	103%	
														2009	121%	130%	
														2008	158%	166%	
															chg to '11 avg	chg to '11 YTD	

Tax balance of open cases June to date is up 3% from 2010, up 30% from 2009, and up 66% from 2008
Tax balance monthly average up 2% from 2010, up 21% from 2009, and up 58% from 2008

RULING - OTHER TRENDS - FO
 Program Codes 9, 13, 14, 19, 21, 22, 40, 44

NEW OPENED CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Total	Avg.	% Chg of Avg	Yr-Yr AvgChg
2008	221	364	396	475	530	286	516	621	616	184	94	138	4,441	370		
2009	175	92	203	456	567	340	304	206	170	710	923	275	4,421	368	100%	-2
2010	486	609	709	598	441	424	468	1,359	201	239	229	214	5,977	498	135%	130
2011	64	97	92	739	526	510							2,028	338	68%	-160
Other registrations June to date are down 38% from 2010, up 11% from 2009, and down 11% from 2008													2010	68%	62%	
Other registration monthly average is down 32% from 2010, down 8% from 2009, and down 9% from 2008													2009	92%	111%	
													2008	91%	89%	
													chg to '11 avg		chg to '11 YTD	

CLOSED CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Total	Avg.	% Chg of Avg	Yr-Yr AvgChg
2008	296	412	199	189	347	397	426	329	342	460	332	142	3,871	323		
2009	236	333	238	209	179	208	273	264	315	192	260	357	3,064	255	79%	-67
2010	335	392	500	682	465	716	421	631	484	804	303	415	6,148	512	201%	257
2011	442	399	728	390	424	631							3,014	502	98%	-10
Other dispositions June to date are down 2% from 2010, up 115% from 2009, and up 64% from 2008													2010	98%	98%	
Other disposition monthly average is down 2% from 2010, up 97% from 2009, and up 56% from 2008													2009	197%	215%	
													2008	156%	164%	
													chg to '11 avg		chg to '11 YTD	

BALANCE OPEN CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Total	Avg.	% Chg of Avg	Yr-Yr AvgChg
2008	2,804	2,761	2,957	3,244	3,430	3,320	3,411	3,701	3,975	3,700	3,465	3,461		3,352		
2009	3,399	3,158	3,123	3,374	3,763	3,894	3,925	3,860	3,715	4,232	4,896	4,809		3,846	115%	493
2010	4,965	5,182	5,394	5,312	5,287	4,996	5,048	5,781	5,494	4,931	4,857	4,658		5,159	134%	1,313
2011	4,281	3,977	3,340	3,692	3,792	3,672								3,792	74%	-1,366
Other balance of open cases June to date is down 27% from 2010, up 10% from 2009, and up 23% from 2008													2010	74%	73%	
Other balance monthly average is down 26% from 2010, down 1% from 2009, and up 13% from 2008													2009	99%	110%	
													2008	113%	123%	
													chg to '11 avg		chg to '11 YTD	

AO REPORT TO BOARD—MONTH OF JUNE 2011

	# Cases	# Appellants	Fiscal Yr Ave
REGISTRATIONS	2,971	1,823	2% above
DISPOSITIONS	3,447	2,093	28% above
OPEN BALANCE	5,349	3,450 (est.)	28% above
CASE AGING	42 Days	DID NOT MET DOL STANDARD (40 days or less)	

TIME LAPSE

DOL Standard	Actual % for June 2011	Expected
45 Days	5%	50%
75 Days	61%	80%
150 Days	99%	95%

APPEAL RATE: 8.7%

ADDITIONAL INFORMATION

FO TO AO Monthly Report

New Hires, Retirement, Retired Annuitants

FO ALJs Working in AO

AO PALJ

Precedent Decision Committee

**California Unemployment Insurance Appeals Board
Board Appeal Summary Report**

	June, 2011	May, 2011	April, 2011	March, 2011
	Average Days in Transfer	Average Days in Transfer	Average Days in Transfer	Average Days in Transfer
	Case Count	Case Count	Case Count	Case Count
Fresno	3.43	3.48	3.53	3.55
Inglewood	3.72	4.70	4.31	5.85
Inland	6.48	5.83	6.35	5.29
Los Angeles	3.45	3.33	5.22	4.04
Oakland	6.09	5.19	11.55	8.86
Orange County	3.08	4.46	2.75	3.99
Oxnard	4.13	4.20	3.67	3.90
Pasadena	5.87	6.95	6.96	8.72
Sacramento	4.25	4.71	5.07	4.55
San Diego	6.17	7.89	8.83	8.41
San Francisco	3.61	4.02	4.32	4.51
San Jose	3.86	5.49	5.85	8.06
Tax Office	3.30	4.17	5.05	5.03
Total	4.41	4.97	5.67	5.72
	2542	2934	3152	3924

Board Member	1st	2nd	3rd	UI	DI	Ruling	Tax	1 Party	2 Party	Total
Alberto Torrico										
Sum	354	388	2	695	39	1	9	283	461	744
Percent	17%	19%	4%	18%	16%	8%	20%	18%	18%	
Bonnie Garcia										
Sum	308	294	5	562	39	2	4	226	381	607*
Percent	15%	14%	9%	15%	16%	17%	9%	14%	15%	
Denise Ducheny										
Sum	234	259	7	462	33	1	4	188	312	500*
Percent	11%	13%	12%	12%	13%	8%	9%	12%	12%	
Dennis Hollingsworth										
Sum	353	386	3	687	41	4	10	265	477	742
Percent	17%	19%	5%	18%	17%	33%	23%	17%	18%	
George Plescia										
Sum	300	305	16	573	39	1	8	234	387	621*
Percent	15%	15%	28%	15%	16%	8%	18%	15%	15%	
Robert Dresser										
Sum	167	31	16	197	15	0	2	86	128	214
Percent	8%	2%	28%	5%	6%	0%	5%	5%	5%	
Roy Ashburn										
Sum	344	398	8	698	42	3	7	282	468	750
Percent	17%	19%	14%	18%	17%	25%	16%	18%	18%	
Total Cases Reviewed:	2060	2061	57	3874	248	12	44	1564	2614	

*Off Calendar

Monthly Board Meeting Litigation Report - June 2011

AGENDA ITEM 9

<u>LITIGATION CASES PENDING</u>	TOTAL = 282
SUPERIOR COURT: Claimant Petitions.....	234
Employer Petitions.....	24
EDD Petitions.....	3
Non-benefit Court Cases	7
APPELLATE COURT: Claimant Appeals.....	9
Employer Appeals.....	3
EDD Appeals.....	0
Non-benefit Court Cases	1
ISSUES: UI.....	244
DI.....	15
Tax.....	14
Non-benefit Court Cases	9

2011 CALENDAR YEAR ACTIVITY - Benefit & Tax Cases

<u>LITIGATION CASES FILED</u>	<u>YTD</u>	<u>June</u>
SUPERIOR COURT: Claimant Petitions.....	78	10
Employer Petitions.....	5	1
EDD Petitions.....	0	0
APPELLATE COURT: Claimant Appeals.....	3	0
Employer Appeals.....	0	0
EDD Appeals.....	0	0
 <u>LITIGATION CASES CLOSED</u>	 <u>YTD</u>	 <u>June</u>
SUPERIOR COURT: Claimant Petitions.....	43	5
Employer Petitions.....	11	2
EDD Petitions.....	1	0
APPELLATE COURT: Claimant Appeals.....	1	0
Employer Appeals.....	0	0
EDD Appeals.....	0	0

2011 Decision Summary

<u>Claimant Appeals</u>		<u>Employer Appeals</u>		<u>CUIAB Decisions</u>		
Win: 8	Loss: 35	Win: 1	Loss: 9	Affirmed: 44	Reversed: 9	Remanded: 0

CUIAB 10/11 Fiscal Year Overtime - SCO Report
July 2010 through May 2011

Branch	FY Y-T-D Decision Typing		FY Y-T-D CTU Typing		FY Y-T-D Registration		FY Y-T-D Other	
	Hours	Pay	Hours	Pay	Hours	Pay	Hours	Pay
Appellate	47.25	\$1,288.98	633.00	\$19,262.19	541.35	\$15,349.71	844.90	\$23,481.74
Admin	79.00	\$2,837.24	0.00	\$0.00	274.50	\$9,735.82	883.50	\$36,084.40
IT	0.00	\$0.00	0.00	\$0.00	0.00	\$0.00	1,530.00	\$64,611.56
Exec	0.00	\$0.00	0.00	\$0.00	32.50	\$1,658.48	310.50	\$9,576.19
Field	3,312.25	\$116,054.20	0.00	\$0.00	4,448.00	\$131,558.47	6,766.00	\$193,269.26
Total	3,438.50	\$120,180.42	633.00	\$19,262.19	5,296.35	\$158,302.48	10,334.90	\$327,023.15

Branch	10/11 Fiscal Year-to-Date Total Overtime Expenditures				FY 10/11 FY Projections	
	10/11 FY Allocation	Year-to-Date Hours	Year-to-Date Position Equivalent	Year-to-Date Pay	Allocation Balance	Estimated Expenditures Over-/Under
Appellate	\$158,242.99	2,066.50	1.08	\$59,382.62	\$98,860.37	\$93,461.95
Admin	\$121,418.90	1,237.00	0.55	\$48,657.46	\$72,761.44	\$68,338.03
IT	\$113,289.60	1,530.00	0.80	\$64,611.56	\$48,678.04	\$42,804.26
Exec	\$17,565.82	343.00	0.18	\$11,234.67	\$6,331.15	\$5,309.82
Field Operations	\$1,221,881.22	14,526.25	7.62	\$440,881.93	\$780,999.29	\$740,919.11
Total	1,632,398.53	19,702.75	10.34	\$624,768.24	\$1,007,630.29	\$950,833.17

Actual Monthly Average Personnel Year (July/Aug/Feb-May) 18.95

10/11 Fiscal Year-to-Date Lump Sum Payout				
July 2010 through May 2011				
Branch	Year-to-Date Hours	Year-to-Date Position Equivalent	Year-to-Date Pay	
Appellate	1,534.00	0.80	\$78,940.00	
Admin	739.30	0.39	\$18,390.83	
IT	441.00	0.23	\$12,322.32	
Exec	4,136.00	2.17	\$209,856.00	
Field Operations	10,156.60	5.33	\$413,202.95	
Total	17,006.90	8.92	\$732,712.10	



CALIFORNIA UNEMPLOYMENT INSURANCE APPEALS BOARD
SPECIAL PROJECTS MATRIX
 July 2011

California's economy is globally ranked with approximately 1.0 million business owners and 15.9 million workers. Currently, California, along with the nation, is experiencing an immense economic downturn with 2.3 million California workers out of work. These are unprecedented numbers for California and the nation. Given this current economic situation, we strive to better serve California's workers and business owners during a time when more than ever, they are in need of our services. Since January 2009, the Board has been focused on the appeal backlog and identifying work solutions that will help address the workload.

WORK PROCESS IMPROVEMENTS

Project & Description	Lead	Priority	Milestones	Goals	Status
<p>EDD/CUIAB Appeal Co-Location Pilot Exploring the co-location of four CUIAB staff at EDD's LA PAC to streamline appeals registration processing.</p>		High	<ul style="list-style-type: none"> Developed scope with EDD 07/20/10 Connectivity established 08/20/10 Equip installed 08/20/10 Train staff 09/20/2010 Launch Pilot 09/27/2010 Relaunch 06/13/2011 	<ul style="list-style-type: none"> Reduce claimants' & employers' wait time for hearing decisions. Resolve appeal registration issues in a timely manner. 	<p>Project launch on 09/27. EDD & CUIAB staff will evaluate the initial project data after the first 30 days and follow with evaluations at 60 and 90 days. Suspended on 10/04 to address CUIAB registration backlog due to hiring freeze. With hiring exemptions of 04/20, CUIAB is identifying staffing needed to relaunch this project. Coordination meeting with EDD UI Branch on 05/04.</p>
<p>US Department of Labor Taskforce For nine years, CUIAB has failed to meet US DOL timeliness standards for UI appeals. California is ranked 51st among 53 states and US territories on time lapse and case aging standards. In late 2008, US DOL placed CUIAB under a corrective action plan with oversight by a taskforce of US DOL, EDD & CUIAB representatives.</p>		High	<ul style="list-style-type: none"> Appeal program review 07/27-31/2009 DOL report 02/05/2010 LWDA response 03/10/2010 Two yr At Risk CAP 07/15/2010 Site visit 07/27/2011 	<ul style="list-style-type: none"> Meet DOL time lapse measures. Meet DOL case age measures. 	<p>DOL site visit for third quarter status on 07/27/2011</p>

TECHNOLOGY

Project & Description	Lead	Priority	Milestones	Goals	Status
<p>Accelerate Decision Notification to EDD Currently, CUIAB Field staff prepare appeal decisions for mailing to the appellants and EDD UI Branch. CUIAB and EDD are jointly developing electronic solutions for the transfer of appeal decisions to all EDD programs.</p>	Lori Kurosaka	On Hold	<p>EDD/CUIAB workgroup launched 08/18/2009 Unisys contract award 01/2010 Phase I implementation 04/14/2010 (second level) Phase II design 05/03/2010 (first level) Phase II implementation 09-10/2010</p>	<ul style="list-style-type: none"> Reduce claimants' & employers' wait times for benefits and adjustments. Reduce postage and paper costs. Increase information security for claimants & employers. 	<p>Phase III project development for Tax & DI decisions on hold through 05/2011 due to EDD's ACES implementation and DI staffing constraints. (Originally delayed from 1/1/2010, 01/2011, 03/2011, 04/2011 & 05/2011). DI/PFL design session calendared for 07/21 & 07/28. Tax design session calendared for 07/13.</p>
<p>CUIAB Network Upgrade This upgrade will double the bandwidth for faster processing of appeal data and information for ALJs and staff.</p>	Rafael Placencia	High		<ul style="list-style-type: none"> Reduce processing time for appeals data flow and document saving. 	<p>Meeting with EDD IT to explore options & alignment with Agency network consolidation efforts. Design plans are completed.</p>
<p>Digital Imaging EDD mails hard copy documents to CUIAB when an appeal is filed. CUIAB will collaborate with EDD to image documents and records relating to all appeals and design an electronic exchange.</p>	Lori Kurosaka	High	<p>Kick off 11/2010 FSR completion 02/2011 Potential BCP 02/2011 Procurement 04/2011 FSR in review 03/14/2011</p>	<ul style="list-style-type: none"> Reduce paper files prepared & sent by EDD. Increase information security. Reduce paper file storage space needs & costs at CUIAB. Reduce postage costs. Increase federal performance. 	<p>DOL approved funding at \$354,000 for planning phase only. Project and procurement strategy approved by LWDA & EDD. Six week start delay due to OCIO approval. Request to EDD for estimated project costs sent in January 2011. Narrative revisions completed per Agency comments in May 2011.</p>
<p>Digital Personnel System This project creates a paperless process for recruitment and hiring process between HR and hiring managers (Phase I). Phase II will use CUIAB's external web site to accept electronic application filing for CUIAB job vacancies.</p>	Rafael Placencia	Medium On Hold	<p>Phase I design 05/2009 Phase I implementation 06/2009 Phase II design 08/2009 Phase II implementation 09/2009</p>	<ul style="list-style-type: none"> Replace existing manual process to full paperless process Eliminate the mailing of applicant documentation Reduce staff time hire transactions 	<p>Phase I is in use. Phase II is in development.</p>
<p>Electronic Case Management CUIAB's case tracking database is 8 years old and becoming cumbersome to manage the current workload volume. CUIAB is collaborating with LWDA & EDD to develop an integrated case management system.</p>	Lori Kurosaka	High		<ul style="list-style-type: none"> Receive appeals case documents electronically from EDD. Eliminate internal mailing of case documents 	<p>DOL approved funding at \$404,000 for the planning phase only. Project & procurement strategy approved for FSR development by LWDA, EDD & CUIAB. Vendor contract approved by LWDA. Kick off occurred in 05/2011. Anticipate completion by 08/2011.</p>
<p>Expand Auto Dialer Hearing Reminder Adding email and cell phone text features for supplemental hearing notifications.</p>	Rafael Placencia	High	<p>Updated software. Final testing 08/2010. Implemented 09/2010. Implemented email reminders 04/2011.</p>	<ul style="list-style-type: none"> Increase hearing attendance rate & productivity. 	<p>Fourth request for DE 1000 update to UI Branch for cell phone text messaging made on 10/06/2010. Email reminders rescheduled for delivery 7 days prior to hearing date. Revising email language for clarity 07/2011.</p>

TECHNOLOGY cont.

Project & Description	Lead	Priority	Milestones	Goals	Status
<p>Field Office Technology Enhancements CUIAB is investing and testing the use of larger sized monitors for hearing rooms. Also, CUIAB will provide second monitors for support staff to toggle into SCDB without interrupting their CATS displays.</p>	<p>Rafael Placencia</p>	<p>On Hold Medium</p>	<p>Complete procurement 02/2011.</p>	<ul style="list-style-type: none"> - Improve readability of documents on screen. 	<p>Hardware deployment continues.</p>
<p>Field Office Telephone Tree Field Operations will test the use of phone menu options to answer routine constituent calls. This will allow support staff to spend more time on the non-routine calls.</p>	<p>Rafael Placencia</p>	<p>Medium</p>	<p>Develop standard automated phone tree to be used for all FO's Pilot new phone tree in the Inland FO</p>	<ul style="list-style-type: none"> - Reduce claimants & employers time on phones. - Standardize hearing information provided by phone. 	<p>Standard phone tree design completed. Pilot began in the Inland FO. IT & Admin are developing evaluation tool to measure pilot effectiveness.</p>
<p>Hearing Scheduling System Currently, FO & AO support staff schedule or assign appeal hearings or cases using a hybrid manual process.</p>	<p>Lori Kurosaka Faye Saunders</p>	<p>High</p>	<p>Charter & scope completed. Kick off 10/14/2010. Requirements 2/2011.</p>	<ul style="list-style-type: none"> - Reduce claimants & employers wait time for hearing decisions. - Provide easier electronic process for staff to calendar hearings or schedule cases. 	<p>AO, FO & IT observed an EDD demo on their UI Scheduling System. AO demo on exception processing (manual scheduling) on 07/20.</p>
<p>LWDA Network Consolidation To comply with OCIO Policy Letter 10-14, the LWDA Departments & Boards are developing a network consolidation plan that must be completed by June 2013.</p>	<p>Rafael Placencia</p>	<p>Medium</p>	<p>LWDA Workgroup develops migration plan. Consensus on migration plan. Implementation</p>	<ul style="list-style-type: none"> - Improve IT efficiency & effectiveness. - Improve security. - Reduce IT costs by using shared service models. - Reduce greenhouse gas emissions. - Reduce the use of paper for board appeal processing and board meetings. 	<p>The migration plan is completed and a cost model has been developed.</p>
<p>Personal Productivity & Mobility Pilot for Board Members, Appellate & Senior Staff CUIAB will test the use of new mobile, paperless technology with Board Members, six Appellate ALJs, and Senior Staff.</p>	<p>Rafael Placencia</p>	<p>Medium</p>			<p>Received OCIO approval for procurement. Procurement completed. IT piloting with board members & AO judges.</p>
<p>Printer Standardization Standardizes the use of printers throughout the organization as they are replaced. This will reduce maintenance and toner costs through the printers lives.</p>	<p>Rafael Placencia</p>	<p>On Hold Medium</p>		<ul style="list-style-type: none"> - Reduce maintenance & support costs. - Reduce toner costs. 	<p>Researching feasible equipment. Standards are in place for light, heavy, color, and multi-function printers.</p>
<p>VOIP Telephony CUIAB is exploring use of Voice Over Internet technology to provide lower cost telecommunications.</p>	<p>Rafael Placencia Janet Maglrite</p>	<p>High</p>	<p>Completed -Murrieta, Van Nuys, Santa Ana, Visalia, Salinas, Chatsworth, Long Beach, Chula Vista, Lancaster, West Covina, Indio, Santa Maria, San Bernardino.</p>	<ul style="list-style-type: none"> - Elimination of long distance toll calls - Consolidation of telecommunications support areas. 	<p>OTECH delegation submitted 04/06/10</p>

TECHNOLOGY cont.

Project & Description	Lead	Priority	Milestones	Goals	Status
Workstation Refresh Replace the 150 remaining PCs that have expired warranties throughout the state.	Rafael Placencia	High	Completed deploy to Admin staff.	-	Preparing procurement documents.

STAFFING, FACILITIES, EQUIPMENT & OTHER

Project & Description	Lead	Priority	Milestones	Goals	Status
Archive File Document Conversion Each FO is retaining three years of completed paper appeal case files that are sitting in considerable real estate space. The file room space may be easily converted to ALJ offices or hearing rooms.	Lori Kurosaka Pat Houston	High	MSA vendor contract executed 01/2010. OC, Inland, LA, Oxnard, San Jose, San Diego, LA, Sacto, SF, Appellate complete Vendor quality checks 04/05, 05/06, 08/09. Vendor quality check 05/09	- Recapture real estate space for ALJ offices and hearing rooms. - Priority conversion for OC, Inland, LA, San Jose & Oxnard.	FO staff are inventorying, prepping and boxing 2008 & 2009 archive appeal files in Fresno, Pasadena and Tax. FOs with adequate staffing are beginning to send 2010 files to vendor.
Judicial Advisory Council Established an advisory council of two Presiding Judges & three ALJs to seek input on major technology development.	Lori Kurosaka Janet Maglirte	High		- Design comprehensive technology systems with input from judicial users.	Held weekly business requirements sessions for a case management system.
Performance Management Tools for Board & Leadership CUJAB is developing additional reporting tools that the Board & Leadership will use to monitor overall appellate performance and appeal process cycle times. These tools will also help to measure success with the large scale technology projects.	Janet Maglirte	High	Business case metrics for imaging Business case metrics for case management	-	Completed report templates with IT and tested with live data. Developing performance metric tool for Board & leadership to summarize data and analysis of the metrics.
Transforming CUJAB Engage a consultant to help plan and guide the leadership team through organizational change management. A consultant will assist with defining organizational structure, proactive communications with stakeholders. Identify staff skill sets needed for new technology, etc. to maximize user acceptance of new technology.	Rafael Placencia Pam Boston Lori Kurosaka	High	Release RFO 03/18/2011 Release RFO 05/12/2011 Bids due 05/31/2011. Intent to award 06/10/2011.	- Plan, design and implement organizational design for the large scale technology projects. - Plan and coordinate communications with all stakeholder groups.	Contract approved by LWDA. Gov's Office rescinded emergency UI proclamation so the contract must be approved by DGS. Pending at DGS 06/27/2011.



2011-2012

BUDGET PROPOSAL

California Unemployment Insurance Appeals Board
July 19, 2011 Board Meeting



BASE BUDGET ADJUSTMENTS

Personnel and

Operating Expenses and Equipment

BUDGET AUTHORITY ADJUSTMENTS POSITIONS DOLLARS

CUIAB's BASE BUDGET ESTIMATE

1032.0 \$ 109,898,221

May 2011 Revise Adjustments

Unemployment Insurance Program

38.5 \$ 3,147,022

Disability Insurance Program

-1.4 \$ (113,935)

Paid Family Leave Program

\$ (1,700,147)

EO S-01-10 Workforce Cap Plan 5%

-55.0 \$ (4,962,000)

EO B-1-11 Cellular Device Reduction 50%

\$ (105,000)

Personal Leave Program 4.62% Salary Reduction

Non ALJs/Excluded/Exempt - 4 months \$ (744,437)

ALJs - 9 months \$ (1,413,079)

TOTAL (Estimates)

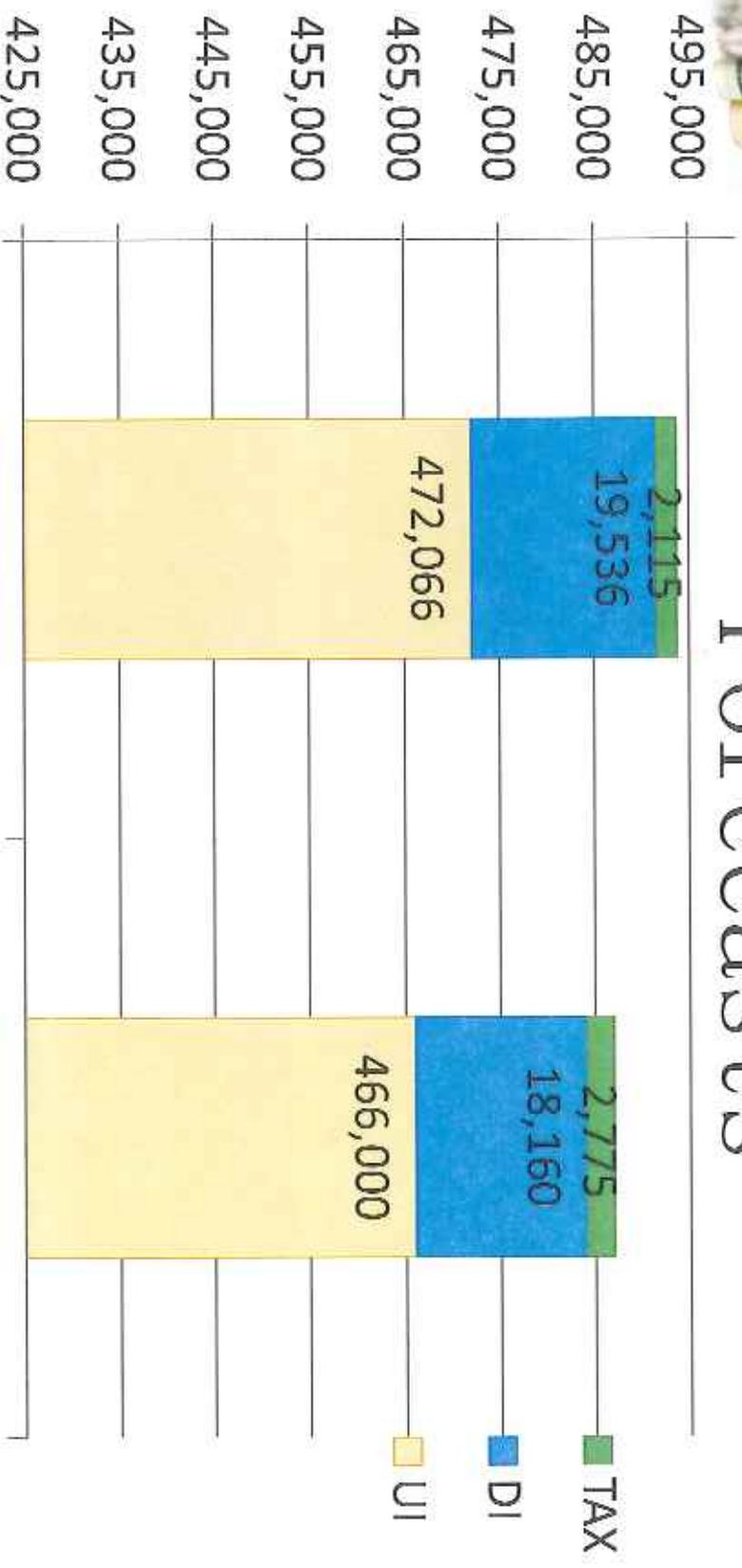
1014.1 \$ 104,006,644



BUDGET ESTIMATES

Workload and Earnings

Forecasts



2010-11

2011-12

493,700 Decisions

486,900 Decisions

\$104.7 Million

\$104.0 Million



BUDGET FUNDING SOURCES

	Dollars	Percent
Federal Funds Unemployment Administration Fund - to administer UI Benefit & Tax appeal hearings. (Employer paid payroll tax.)	\$ 95,665,644	92.0%
State Special Funds State Disability Insurance Fund - to administer DI Benefit & Tax appeal hearings. (Employee paid payroll tax – 1.1%.)	\$ 7,635,000	7.3%
General Fund General Fund - to administer Tax Appeal hearings for the State Personal Income Tax program)	\$ 484,000	0.5%
Reimbursements Facilities Sub-Leases	\$ 222,000	0.2%
Total	\$104,006,644	100.0%



BRANCH REQUESTS

Personnel and Operating Expenses and Equipment

CUIAB BRANCH REQUESTS	POSITIONS	DOLLARS
Appellate Operations	86.1	\$ 7,534,475
Administrative Services	61.0	\$ 9,245,417
Information Technology	49.0	\$ 6,810,382
Executive Office	16.5	\$ 3,935,814
Field Operations	650.0	\$ 74,482,132
TOTAL	862.6	\$ 102,008,219



PERSONNEL BUDGET HIGHLIGHTS

	POSITIONS			SALARY/WAGE
	Perm	Temp	Total	
2011-12 REQUESTS	715.1	147.5	862.6	\$ 59,233,249
2010-11 as of 5-1-11	620.1	117.0	737.1	\$ 52,922,068
TOTAL INCREASE	95.0	30.5	125.5	\$ 6,311,181

OVERTIME ALLOCATION \$1.1 Million (reduced \$ 500,000)



PERSONNEL BUDGET HIGHLIGHTS

<u>PLANNED NEW HIRES</u>	Perm	Temp	Total
PALJs/ALJs	19.0	4.0	23.0
LSSs/Support Staff	55.0	23.0	78.0
Exec/Admin/IT	21.0	3.5	24.5
TOTAL NEW HIRES	95.0	30.5	125.5

<u>BRANCH GROWTH</u>	Total
Field Operations	83.0
Appellate Operations	18.0
Exec / Admin / IT	24.5
TOTAL GROWTH	125.5



OPERATING EXPENSES & EQUIPMENT

(OE&E) BUDGET HIGHLIGHTS

CALL LETTER REQUESTS	11/12 Requests	10/11 Allocations	10/11-11/12 Change	% Change
Equipment/Furniture Purchase	\$ 3,462	8,540	(5,078)	-59%
Telephone Equipment Purchase	\$ 469,150	28,900	440,250	1523%
Security Services	\$ 27,943	44,570	(16,627)	-37%
Software Purchase	\$ 25,450	64,200	(38,750)	-60%
Premises Planned/Unplanned Repairs	\$ 34,000	67,269	(33,269)	-49%
Other Postage	\$ 200,558	152,512	48,046	32%
DP Equipment Purchase	\$ 20,758	293,370	(272,612)	-92%
Training	\$ 315,410	331,535	(16,125)	-5%
Miscellaneous Expenses	\$ 216,400	411,160	(194,760)	-47%
Professional Service Contracts	\$ 497,075	438,487	58,588	13%
DP/Non Equip Maintenance / Repair	\$ 1,098,445	1,122,284	(23,839)	-2%
TOTALS	\$ 2,908,651	2,962,827	(54,176)	-2%



OE&E BUDGET HIGHLIGHTS

	11/12 Requests	10/11 Allocations	10/11-11/12 Change	%
ON-GOING COSTS				
Printing and Binding	\$ 0	2,300	(2,300)	-100%
Advertising	\$ 0	2,500	(2,500)	-100%
Transportation/Freight	\$ 63,500	85,000	(21,500)	-25%
Memberships	\$ 112,050	112,050	-	0%
Other Services	\$ 96,350	140,100	(43,750)	-31%
Subscriptions	\$ 245,700	245,700	-	0%
Supplies	\$ 323,175	405,500	(82,325)	-20%
Pro Rata & SWCAP	\$ 619,492	479,210	140,282	29%
Phone Services & Repair	\$ 505,000	579,000	(74,000)	-13%
Travel / Auto Expense	\$ 919,450	732,900	186,550	25%
Postage	\$ 680,000	755,000	(75,000)	-10%
Computer Output Microfilm	\$ 570,000	764,496	(194,496)	-25%
AG Services/Court Costs	\$ 1,253,000	1,262,000	(9,000)	-1%
Interpreter Services	\$ 1,906,000	1,665,700	240,300	14%
Premises Rent, DGS Plans, TIs, Utilities, Janitorial, Maintenance	\$ 10,252,198	10,335,265	(83,107)	-1%
TOTAL	\$ 17,545,915	17,566,721	-20,806	0%



OE&E BUDGET HIGHLIGHTS

FACILITY LEASE BUDGET and PROPOSED PROJECTS

SACRAMENTO HEADQUARTERS \$ 2,204,647

FIELD HEADQUARTERS and OUTSTATIONS \$ 7,544,651

TOTAL \$ 9,749,298



OE&E BUDGET HIGHLIGHTS

PROPOSED PROJECTS

2011-12 TI/Lease

Lease Increases & Tenant Improvements

Increase

Sacramento-Security Enhancements

\$246,535

San Francisco-Lease Increase

\$25,454

Bakersfield-Relocation

\$200,000

Stockton-Relocation

\$200,000

Oakland-Remodel

\$250,000

Proposed Project Total for 2011-12

\$271,989

Deferred Project Total for 2011-12

\$650,000



OE&E BUDGET SUMMARY

OE&E - FISCAL YEAR CHANGE

DOLLARS

2011-12 ALLOCATION

\$ 20,454,566

2010-11 REQUESTS

\$ 20,529,548

TOTAL DECREASE

-\$ 74,982

POTENTIAL BUDGETARY

RISKS



1. The October 2011 and May 2012 Revises
(reduced workload, positions, and dollars) \$?
2. Higher litigation costs \$?
3. Natural disaster(s) \$?
4. Delayed Economic Recovery \$?
5. Executive Order Budget Reductions \$?



POTENTIAL BUDGETARY RESERVES

1. 2011-12 Reserve	\$ 273,030
2. Litigation	\$ 500,000
3. <u>Training Conference</u>	<u>\$ 200,000</u>
Total	\$ 973,030



BUDGET SUMMARY PROPOSAL

	<u>POSITIONS</u>			<u>DOLLARS</u>
	Perm	Temp	Total	
BASE BUDGET	735.5	278.6	1014.1	\$ 104,006,644
BRANCH REQUESTS	715.1	147.5	862.6	\$ 102,008,219
BALANCE	20.4	131.1	151.5	\$ 1,998,425
LESS UNSPENT BENEFITS				\$ (1,725,395)
RESERVE				\$ 273,030

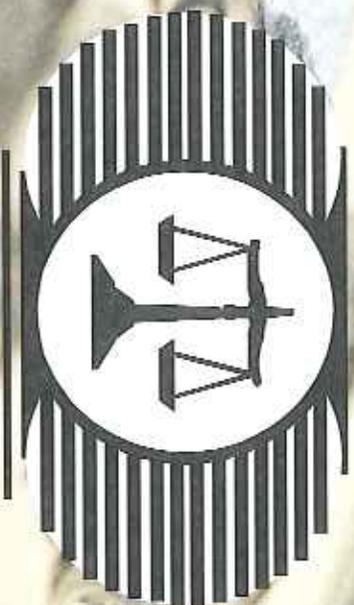
BUDGET PERCENTAGES

<u>POSITIONS</u>		<u>DOLLARS</u>
Permanent	83%	Salaries/Wages 57%
Temporary Help	16%	Benefits 22%
Overtime	1%	OE&E 20%
		Reserve 1%
Total	100%	Total 100%

California Unemployment Insurance



Appeals Board



Budget Advisory Committee (BAC)

Branch Representatives:

Executive/Field	Admin. Services	Info Technology	Appellate
Alberto Roldan	Pamela Boston	Rafael Placencia	Jorge Carrillo
Hugh Harrison			Joel Contreras
			Luis Rodriguez

Budget Team:

Renee' Erwin, Robert Silva, Mirella Gomez, Valerie Graziano